



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant:** Yuichiro TAKAMIZAWA

**Examiner:** Unassigned

**Serial No.:** 10/033,088

**Art Unit:** 2631

**Filed:** December 27, 2001

**Docket:** 15187

**For:** DATA COMPRESSION, CONTROL  
PROGRAM FOR CONTROLLING THE  
DATA COMPRESSION

**Dated:** Dec. 31, 2003

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Technology Center 2600

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. European Patent Publication No. EP 1 047 198 A2, dated October 25, 2000.

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on December 31, 2003.

Dated: December 31, 2003

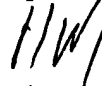
  
\_\_\_\_\_  
Paul J. Esatto, Jr.

2. Bosi, M., et al., "ISO/IEC MPEG-2 Advanced Audio Coding", Journal of the Audio Engineering Society, Audio Engineering Society, New York, Vol. 45, No. 10, 1 October 1997, pp. 789-812.

The references were cited in a Search Report dated October 27, 2003 received from the European Patent Office. Applicant is submitting copies of the above-cited references, together with a copy of the Search Report. The relevance of the above-identified references has been described in the Search Report.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,



Paul J. Esatto, Jr.

Registration No. 30,749

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Garden City, New York 11530  
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PJE:cmc

2631

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
15187

In Re Application Of: Yuichiro TAKAMIZAWA

Serial No.  
10/033,088

Filing Date  
December 27, 2001

Examiner  
Unassigned

Group Art Unit  
2631

Title: DATA COMPRESSION, CONTROL PROGRAM FOR CONTROLLING THE  
DATA COMPRESSION

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
**15187**

In Re Application: **Yuichiro TAKAMIZAWA**

JAN 02 2004

Serial No.  
**10/033,088**

Filing Date  
**December 27, 2001**

Examiner  
**Unassigned**

Group Art Unit  
**2631**

**DATA COMPRESSION, CONTROL PROGRAM FOR CONTROLLING THE  
DATA COMPRESSION**

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **19-1013/SSMP**  
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**Paul J. Esatto, Jr., Reg. No. 30,749**

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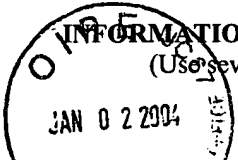
**Garden City, New York 11530**

**Tel: 516-742-4343**

**PJE:cmc**

**CC:**

**Dated: December 31, 2003**

<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary) 	Docket Number (Optional) 15187	Application No. 10/033,088
	Applicant: Yuichiro TAKAMIZAWA	
	Filing Date December 27, 2001	Group Art Unit 2631

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

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**FOREIGN PATENT DOCUMENTS**

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		EP 1 047 198 A2	10/25/2000	EPO				

		Bosi, M., et al., "ISO/IEC MPEG-2 Advanced Audio Coding", Journal of the Audio Engineering Society, Audio Engineering Society, New York, Vol. 45, No. 10, 1 October 1997, pp. 789-812.

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.